

On braided Hopf structures on exterior algebras

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Introduction

Consider a braided \mathbb{F} -vector space (V, τ) , $\tau \in \text{Aut}(V \otimes V)$ satisfies the Yang–Baxter equation

$$\tau' \tau'' \tau' = \tau'' \tau' \tau'', \quad \tau' := \tau \otimes \text{id}_V, \quad \tau'' := \text{id}_V \otimes \tau.$$

The tensor algebra $T(V) = \bigoplus_{n=0}^{\infty} V^{\otimes n}$ is canonically a braided Hopf algebra with an invertible antipode with all the elements of V being primitive

$$\Delta u = u \otimes 1 + 1 \otimes u, \quad \forall u \in V$$

The Nichols algebra associated with (V, τ) is the quotient braided Hopf algebra

$$\mathfrak{B}(V) = T(V)/\mathfrak{J}_V$$

where \mathfrak{J}_V is the maximal Hopf ideal generated by primitive elements of degree ≥ 2 , where elements of degree n are those of $T^n(V) := V^{\otimes n}$.

We are interested in the cases when the Nichols algebra is finite-dimensional.

A braided Hopf algebra H is endowed with five structural morphisms:

$$\nabla: H \otimes H \rightarrow H, \quad \eta: I \rightarrow H, \quad \Delta: H \rightarrow H \otimes H, \quad \epsilon: H \rightarrow I, \quad S: H \rightarrow H$$

called, respectively, the product, unit, coproduct, counit and antipode.

$$\nabla = \text{product}, \quad \Delta = \text{coproduct}, \quad \beta_{H,H} = \text{braiding}$$

$$\eta = \text{unit}, \quad \epsilon = \text{counit}, \quad S = \text{antipode}.$$

Axioms of a braided Hopf algebra:

$$\text{associativity}, \quad \text{unitality},$$

$$\text{coassociativity}, \quad \text{counitality},$$

(invertibility),

and

(compatibility).

The Yang–Baxter equation for the braiding

All morphisms are braided linear maps and the following relations hold (and many others):

$$\begin{array}{ccc}
 \text{Diagram 1} & = & \text{Diagram 2} , & \text{Diagram 3} & = & \text{Diagram 4} . \\
 \text{Diagram 5} & = & \text{Diagram 6} , & \text{Diagram 7} & = & \text{Diagram 8} .
 \end{array}$$

The diagrams represent the following relations:

- Top-left: A crossing with a dot on the bottom-left strand equals a crossing with a dot on the top-right strand.
- Top-right: A crossing with a dot on the bottom-right strand equals a crossing with a dot on the top-left strand.
- Bottom-left: A crossing with a dot on the bottom-left strand equals a crossing with a dot on the top-right strand.
- Bottom-right: A crossing with a dot on the bottom-right strand equals a crossing with a dot on the top-left strand.

'Fusion relation'

$$\text{Diagram 9} = \text{Diagram 10} .$$

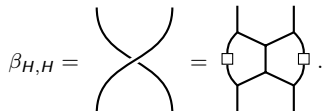
The diagrams represent the fusion relation:

- Diagram 9: A crossing with a dot on the bottom-left strand.
- Diagram 10: A crossing with a dot on the top-right strand.

The braiding $\beta_{H,H}$ can be expressed entirely in terms of the structural maps, via the formula

$$\beta_{H,H} = (\nabla \otimes \nabla)(S \otimes (\Delta \nabla) \otimes S)(\Delta \otimes \Delta),$$

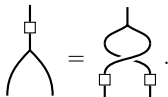
or in graphical form:

$$\beta_{H,H} = \text{crossing} = \text{string diagram with squares}.$$


The following relation between the braiding and the antipode holds:

$$S\nabla = \nabla\beta_{H,H}(S \otimes S),$$

or in graphical form

$$\text{multiplication with antipode} = \text{braiding with multiplication and antipode}.$$


Exterior algebras with deformed Braided Hopf Structures

Let (V, τ) be a braided vector space. The tensor algebra $T(V)$ is a braided Hopf algebra in which all the elements of V are primitive. The braiding

$$\hat{\tau} := \beta_{T(V), T(V)}: T(V) \otimes T(V) \rightarrow T(V) \otimes T(V)$$

is induced from τ in the sense that $\hat{\tau}|_{V \otimes V} = \tau$, and it satisfies the compatibility conditions with the unit $\eta: \mathbb{F} \rightarrow T(V)$

$$\hat{\tau}(\eta \otimes \text{id}) = \text{id} \otimes \eta, \quad \hat{\tau}(\text{id} \otimes \eta) = \eta \otimes \text{id}.$$

An immediate consequence of the fusion formula is the *preservation of degree* along the strands of the induced braiding $\hat{\tau}$, in the sense that

$$\forall m, n \in \mathbb{Z}_{\geq 0}: \hat{\tau}(T^m(V) \otimes T^n(V)) = T^n(V) \otimes T^m(V).$$

Let V be a \mathbb{F} -vector space, and let \mathbb{B} be a linearly ordered basis of V . Define the Heaviside theta symbol

$$\theta_{a,b} \in \{0, 1\}, \quad a, b \in \mathbb{B},$$

by setting $\theta_{a,b} = 1$ if $a > b$ and $\theta_{a,b} = 0$ otherwise.

For any scalar $p \neq 0$, we define a linear map $\tau: V \otimes V \rightarrow V \otimes V$ by

$$\tau(a \otimes b) = \begin{cases} -a \otimes a & \text{if } a = b; \\ -(1-p)a \otimes b - b \otimes a & \text{if } a > b; \\ -pb \otimes a & \text{if } a < b, \end{cases}$$

for all $a, b \in \mathbb{B}$. Since τ is invertible and satisfies the quantum Yang–Baxter equation over V , it qualifies as an R -matrix. Accordingly, (V, τ) forms a braided \mathbb{F} -vector space.

When $\dim(V) = N$, the braiding τ corresponds to the R -matrix of the quantum group $U_q(\mathfrak{sl}_N)$ evaluated at the N -dimensional fundamental representation.

The R -matrix τ defined above, has the eigenvalue -1 with the corresponding eigenspaces spanned by

$$\{a \otimes b + b \otimes a \mid a < b\} \cup \{a \otimes a \mid a \in \mathbb{B}\}$$

Any primitive element of degree two of the tensor algebra $T(V)$ is an eigenvector of τ corresponding to the eigenvalue -1 :

$$\Delta w = w \otimes 1 + 1 \otimes w \quad \Rightarrow \quad \tau w = -w, \quad w \in T^2(V) \simeq V \otimes V.$$

This follows from the formula for the coproduct of $T(V)$ in degree two:

$$\forall u, v \in V: \Delta(uv) = uv \otimes 1 + 1 \otimes uv + u \otimes v + \tau(u \otimes v).$$

The exterior algebra $\bigwedge V$ is a braided Hopf algebra where all the elements of V are primitive and the braiding is induced by τ

$$\bigwedge V \simeq T(V)/\mathfrak{I}_2 = \mathbb{F}\langle \mathbb{B} \mid \{ba = -ab \mid a, b \in \mathbb{B}\} \cup \{a^2 \mid a \in \mathbb{B}\} \rangle.$$

We denote by $\Lambda_p(V)$ the exterior algebra endowed with this braided Hopf algebra structure, and by $\hat{\tau}$ its associated braiding. For any $k \in \mathbb{Z}_{\geq 0}$, we write $\Lambda_p^k(V)$ for the subspace of $\Lambda_p(V)$ consisting of all the elements of degree k :

Set-theoretic bases in exterior algebras

We consider a linearly ordered basis $\mathbb{B} \subset V$ and identify with \mathbb{B} the set of integers $\{1, \dots, |\mathbb{B}|\}$, which is equipped with the natural order.

Example: $N = 3$

Basis of V is given by $\{f_{\{1\}}, f_{\{2\}}, f_{\{3\}}\}$ and

$$\Lambda_p(V) \equiv \{f_{\emptyset}, f_{\{1\}}, f_{\{2\}}, f_{\{3\}}, f_{\{1,2\}}, f_{\{1,3\}}, f_{\{2,3\}}, f_{\{1,2,3\}}\}.$$

This is an 8-dim space and, for any N , $\dim(V) = N$, $\dim(\Lambda_p(V)) = 2^N$.

For a set E , we denote the set of all **subsets** of E by

$$\mathcal{P}_{\text{fin}}(E) := \{A \mid A \subseteq E, |A| < \infty\}.$$

The set of all **k -element subsets** of E is denoted by

$$\binom{E}{k} := \{A \subseteq E \mid |A| = k\}.$$

For any two finite subsets A, B of a linearly ordered set, define the Heaviside theta-symbol

$$\theta_{A,B} = \sum_{a \in A} \sum_{b \in B} \theta_{a,b}.$$

We will also write $f_{E,F,\dots,G}$ instead of $f_E \otimes f_F \otimes \dots \otimes f_G$.

Theorem

Let \mathbb{B} be a linearly ordered basis of a vector space V , where $p \in \mathbb{F}_{\neq 0}$ a nonzero scalar, and let $\{f_E \mid E \in \mathcal{P}_{\text{fin}}(\mathbb{B})\}$ be the (canonical) basis of $\bigwedge V$ given by words in the alphabet \mathbb{B} with strictly increasing order. Then, the braided Hopf algebra $\Lambda_p(V)$ has the following structure maps:
the product

$$\nabla(f_E \otimes f_F) =: f_E f_F = \delta_{|E \cap F|, 0} (-1)^{\theta_{E,F}} f_{E \cup F}, \quad \forall E, F \in \mathcal{P}_{\text{fin}}(\mathbb{B});$$

the coproduct

$$\Delta f_E = \sum_{A \subseteq E} (-p)^{\theta_{A, E \setminus A}} f_A \otimes f_{E \setminus A}, \quad \forall E \in \mathcal{P}_{\text{fin}}(\mathbb{B});$$

the antipode

$$Sf_E = \gamma_{|E|} f_E, \quad \forall E \in \mathcal{P}_{\text{fin}}(\mathbb{B}),$$

where the integers $\theta_{E,F} \in \mathbb{Z}_{\geq 0}$ are defined above, and the signed Gaussian exponential is

$$\gamma_k := (-1)^k p^{k(k-1)/2}.$$

Theorem

The action of the braiding $\hat{\tau}$ of $\Lambda_p(V)$ correspond to the MOY diagrammatic identity

$$\hat{\tau}(\pi_m \otimes \pi_n) = \sum_{k=0}^{\min(m,n)} \gamma_k \text{ (diagram) } .$$

A similar formula has been derived by Murakami, Ohtsuki, and Yamada (MOY) (1998) and Cautis, Kamnitzer, and Morrison (2014). Their proofs are much more involved because they did not use a Hopf algebra structure with antipode.

Matrix elements are given by complicated combinatorial sums. Explicit calculations for $N = 2, 3, 4$ show that there are many cancelations. The goal is to produce a much nicer formula with factorised matrix elements.

Matrix coefficients of the braiding

For any finite subsets E and F of \mathbb{B} , and any G and H such that

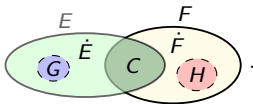
$$G \subseteq E \setminus F, \quad H \subseteq F \setminus E,$$

we define

$$C := E \cap F, \quad \dot{E} := (E \setminus F) \setminus G, \quad \dot{F} := (F \setminus E) \setminus H$$

and

$$E' := (E \setminus G) \cup H, \quad F' := (F \setminus H) \cup G.$$



The braiding of $\Lambda_p(V)$ can be decomposed into a sum

$$\hat{\tau} = \sum_{k \in \mathbb{Z}_{\geq 0}} \tau_k, \quad \tau_k f_{E,F} = (-1)^{|E||F|} \sum s_{E,G;F,H} f_{F',E'}, \quad f_{E,F} \in \Lambda_p(V)^{\otimes 2}.$$

where the summation runs over the subsets $G \in \binom{E \setminus F}{k}$ and $H \in \binom{F \setminus E}{k}$. The conditions on G and H imply the equalities

$$|E'| = |E|, \quad |F'| = |F|$$

Matrix coefficients of the braiding

Theorem

For $G \in \binom{E \setminus F}{k}$, $H \in \binom{F \setminus E}{k}$, we have the following formula for the coefficient $s_{E,G;F,H}$

$$s_{E,G;F,H} = \beta_{E,G;F,H},$$

which does not vanish for generic p if and only if

$$\theta_{A,H} > \theta_{A,G}, \quad \forall A \in \binom{G}{1}.$$

Coefficients $\beta_{E,G;F,H}$ are given by

$$\beta_{E,G;F,H} = (-1)^{\theta_{F,E} + \theta_{F',E'}} p^{\theta_{G \sqcup C, E} + \theta_{F, E'}} \alpha_{G,H},$$

$$\alpha_{G,H} := \prod_{A \in \binom{G}{1}} (p^{\theta_{A,H} - \theta_{A,G}} - 1),$$

where the product is over the subsets A of degree 1.

A two-parametric R -matrix from the Nichols algebra

Theorem

There is a two-parametric solution of the YBE $\rho(p, t)$, $t \in \mathbb{F}$ which corresponds the MOY diagrammatic equation

$$\begin{array}{c} i \\ \nearrow \\ \boxed{\rho} \\ \nwarrow \\ m \end{array} \begin{array}{c} m+n-i \\ \nwarrow \\ \boxed{\rho} \\ \nearrow \\ n \end{array} = t^i (tp^m; p)_{n-i} \sum_{k=0}^{\min(i,m)} \gamma_k \begin{array}{c} i \\ \nearrow \\ k \\ \nwarrow \\ m \end{array} \begin{array}{c} m+n-i \\ \nwarrow \\ n \end{array} .$$

We define the matrix coefficients of ρ as follows:

$$\rho f_{E,F} = (-1)^{|E||F|} \sum r_{E,G;F,H} f_{F',E'}$$

where the summation runs over $G \subseteq E \setminus F$ and $H \subseteq F \setminus E$ such that $|H| \geq |G|$ and

$$E' := (E \setminus G) \sqcup H, \quad F' := (F \setminus H) \sqcup G.$$

We have the following formula for the coefficients of the matrix ρ :

$$r_{E,G;F,H} = t^{|F'|} (tp^{|E|}; p)_{|H|-|G|} \beta_{E,G;F,H},$$

where $\beta_{E,G;F,H}$ is given on the previous slide.

Conjecture

Let $\dim(V) = N$. Then the invariant of long knots J_ρ associated with the R -matrix $\rho(p, t)$ is of the form

$$J_\rho = \text{LG}^{(N)}(p, t) \text{id}_{\Lambda_p(V)}$$

where $\text{LG}^{(N)}(p, t)$ is the Links–Gould invariant (1993) associated with a 2^N -dimensional representation of the super quantum group $U_q(\mathfrak{gl}(N|1))$.

The calculations for a few examples of knots for the values $N = 2, 3, 4$ and a comparison with the results of De Wit (2001) are consistent with the Conjecture.

Future directions include:

- ▶ extend our computation of the R -matrix to derive the associated knot invariants in specific examples, without fixing the dimension N , and study their behaviour as functions of N ;
- ▶ motivated by the V_n invariants, exploring deeper ties with the representation theory of $U_q(\mathfrak{gl}(N|1))$ and constructing coloured versions of the Links–Gould invariants.

These developments promise to deepen the algebraic and topological applications of Nichols algebras.