

# The inhomogeneous asymmetric exclusion proces

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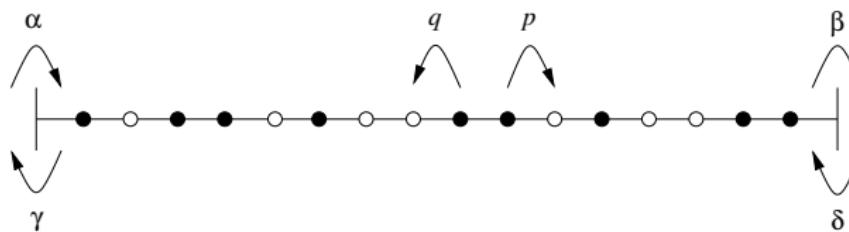
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## Outline

- Revision of ASEP
- Inhomogeneous version
- Matrix product Koornwinder polynomials

## Partially Asymmetric Exclusion Process (PASEP)



Paradigm of classical non-equilibrium statistical mechanics: boundary driven hard-core diffusion on a line.

- One dimensional diffusion
- Non-equilibrium system: nonzero current
- Boundary driven phase transitions in one dimension

Configurations:

$$|\tau\rangle = |\tau_1, \dots, \tau_L\rangle = \bigotimes_{i=1}^L |\tau_i\rangle \quad \tau_i = 0, 1.$$

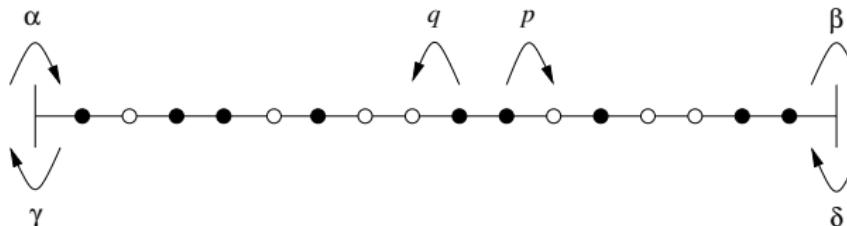
State at time  $t$ :

$$|P(t)\rangle = \sum_{\tau} P_{\tau}(t) |\tau\rangle,$$

Time evolution is governed by the master equation:

$$\frac{d}{dt} |P(t)\rangle = M |P(t)\rangle.$$

## Pair interactions



The PASEP transition matrix  $M$  consists of two-body interactions only:

$$M = \sum_{k=1}^{L-1} m_k + b_1 + \dots + b_L$$

$$m_k = \mathbb{I}^{k-1} \otimes \textcolor{red}{m} \otimes \mathbb{I}^{L-k-1},$$

$$m = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -q & p & 0 \\ 0 & q & -p & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix},$$

## Matrix Product

We are interested in the stationary state

$$M|\Psi\rangle = 0$$

Assume

$$|\Psi\rangle = \sum_{\tau} \Psi_{\tau} |\tau\rangle = \langle W| \begin{pmatrix} E \\ D \end{pmatrix}^{\otimes L} |V\rangle.$$

$$\Psi_{1001011} = \langle W| DEEDED |V\rangle$$

with matrices  $D$  and  $E$ , and row vector  $\langle W|$  and column vector  $|V\rangle$ .

## Matrix Product

$$M = \sum_{k=1}^{L-1} m_k + b_1 + \dots + b_L$$

Stationarity of  $\langle W | \begin{pmatrix} E \\ D \end{pmatrix}^{\otimes L} | V \rangle$  is implied by

$$m \begin{pmatrix} E \\ D \end{pmatrix} \otimes \begin{pmatrix} E \\ D \end{pmatrix} = \begin{pmatrix} E \\ D \end{pmatrix} \otimes I - I \otimes \begin{pmatrix} E \\ D \end{pmatrix},$$

Leads to  $q$ -oscillator algebra

$$pDE - qED = D + E.$$

## Inhomogeneous ASEP

We will write  $p = 1$ ,  $q = t^2$  and

$$m = t \textcolor{red}{e} = t \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -t & t^{-1} & 0 \\ 0 & t & -t^{-1} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Define R-matrix:

$$\check{R}_i(x_i, x_{i+1}) = 1 + \frac{x_i - x_{i+1}}{tx_i - t^{-1}x_{i+1}} e_i.$$

This matrix satisfies the Yang-Baxter equation:

$$\check{R}_i(x_i, x_{i+1}) \check{R}_{i+1}(x_{i+1}, x_{i+2}) \check{R}_i(x_i, x_{i+1})$$

=

$$\check{R}_{i+1}(x_{i+1}, x_{i+2}) \check{R}_i(x_i, x_{i+1}) \check{R}_{i+1}(x_{i+1}, x_{i+2})$$

With our definition of the  $R$ -matrix we can now define the transfer matrix as,

$$T(w|x_1, \dots, x_L) = \text{tr}_a R_{a,1}(w, x_1) \dots R_{a,L}(w, x_L),$$

where  $R_{a,i} = \mathbb{P}_{a,i} \check{R}_{i,a}$  and  $\mathbb{P}_{a,i}$  is a permutation operator.

Stationary state is now given by

$$T(w|x_1, \dots, x_L)|\Psi(x_1, \dots, x_L)\rangle = \Lambda|\Psi(x_1, \dots, x_L)\rangle,$$

with  $\Lambda$  symmetric.

Yang-Baxter equation implies

$$\check{R}_i(x_i, x_{i+1}) T(\dots, x_i, x_{i+1}, \dots) = T(\dots, x_{i+1}, x_i, \dots) \check{R}_i(x_i, x_{i+1}).$$

and **qKZ** equation for  $\Psi$ :

$$\check{R}_i(x_i, x_{i+1}) |\Psi(x_1, \dots, x_L)\rangle = s_i \circ |\Psi(x_1, \dots, x_L)\rangle.$$

## Example

$$\check{R}_i(x_i, x_{i+1})|\Psi(x_1, \dots, x_L)\rangle = s_i \circ |\Psi(x_1, \dots, x_L)\rangle.$$

is written in components as

$$\Psi_{\dots \circ \circ \dots} = t^{-1} T_i \circ \Psi_{\dots \circ \circ \dots}$$

$$\Psi_{\dots \bullet \bullet \dots} = t^{-1} T_i \circ \Psi_{\dots \bullet \bullet \dots}$$

$$\Psi_{\dots \bullet \circ \dots} = t T_i \circ \Psi_{\dots \circ \bullet \dots}.$$

with

$$T_i = t - (tx_i - t^{-1}x_{i+1}) \cdot \partial_i$$

and divided difference operator

$$\partial_i = \frac{1 - s_i}{x_i - x_{i+1}}.$$

## Hecke algebra

These operators satisfy the Hecke algebra:

$$\begin{aligned}T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1}, \\(T_i - t)(T_i + t^{-1}) &= 0, \\[T_i, T_j] &= 0 \text{ if } |i - j| > 1.\end{aligned}$$

## Solution for periodic boundaries

$$|\Psi(x_1, x_2)\rangle = \begin{pmatrix} \Psi_{\bullet\bullet}(x_1, x_2) \\ \Psi_{\circ\bullet}(x_1, x_2) \\ \Psi_{\bullet\circ}(x_1, x_2) \\ \Psi_{\circ\circ}(x_1, x_2) \end{pmatrix} = \begin{pmatrix} 1 \\ x_1 \\ x_2 \\ x_1 x_2 \end{pmatrix}$$

Any state with  $n$  particles can be represented by the following polynomial,

$$\Psi_{j_1, \dots, j_n} = \prod_{k=1}^n x_{j_k},$$

where  $1 \leq j_1 < \dots < j_n \leq L$  denote the sites that are occupied by particles.

The normalisations of the periodic IASEP are elementary symmetric polynomials.

## Open boundaries

We define the boundary scattering matrices as follows,

$$K_0(x|a, b) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \frac{x^2 - 1}{(x - a)(x - b)} \begin{pmatrix} -t_0^2 & 1 \\ t_0^2 & -1 \end{pmatrix},$$

$$\check{K}_L(x|c, d) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \frac{1 - x^2}{(1 - cx)(1 - dx)} \begin{pmatrix} -1 & t_L^2 \\ 1 & -t_L^2 \end{pmatrix},$$

where  $t_0 = -ab$  and  $t_L = -cd$  and  $a, b, c, d$  are functions of  $\alpha, \beta, \gamma, \delta$ .

The qKZ equations now read

$$\check{R}_i(x_i, x_{i+1})|\Psi\rangle = s_i \circ |\Psi\rangle,$$

$$K_0(x_1)|\Psi\rangle = s_0 \circ |\Psi\rangle,$$

$$\check{K}_L(x_L)|\Psi\rangle = s_L \circ |\Psi\rangle.$$

$$s_0 : f(x_1, \dots) \mapsto f(x_1^{-1}, \dots), \quad s_L : f(\dots, x_L) \mapsto f(\dots, x_L^{-1}).$$

The qKZ equations can now be written as

$$t\Psi_{\dots\circ\circ\dots} = T_i \circ \Psi_{\dots\circ\circ\dots}$$

$$t\Psi_{\dots\bullet\bullet\dots} = T_i \circ \Psi_{\dots\bullet\bullet\dots}$$

$$t^{-1}\Psi_{\dots\bullet\circ\dots} = T_i \circ \Psi_{\dots\circ\bullet\dots}$$

$$t_0^{-1}\Psi_{\circ\dots} = T_0 \circ \Psi_{\bullet\dots},$$

$$t_L^{-1}\Psi_{\dots\bullet} = T_L \circ \Psi_{\dots\circ\dots}.$$

with

$$T_0 := t_0 + t_0^{-1} \frac{(x_1 - a)(x_1 - b)}{x_1^2 - 1} (s_0 - 1),$$

$$T_L := t_L + t_L^{-1} \frac{(1 - cx_L)(1 - dx_L)}{1 - x_L^2} (s_L - 1).$$

$$t^{-1}\psi_{\dots \bullet \circ \dots} = T_i \circ \Psi_{\dots \circ \bullet \dots}$$

$$t_0^{-1}\psi_{\circ \dots} = T_0 \circ \Psi_{\bullet \dots},$$

$$t_L^{-1}\psi_{\dots \bullet} = T_L \circ \Psi_{\dots \circ}.$$

The state  $\psi_{\bullet \dots \bullet}$  is highest weight:

$$T_i \circ \psi_{\bullet \dots \bullet} = t^{1/2} \psi_{\bullet \dots \bullet},$$

$$T_L T_{L-1} \dots T_1 T_0 \circ \psi_{\bullet \dots \bullet} = (t_L t_0)^{-1} t^{1-L} \psi_{\bullet \dots \bullet}.$$

Action of Hecke algebra gives all other components in terms of highest weight state.

## Explicit solutions

$$\psi_{\bullet} = \frac{1}{x_1} + A$$

$$\psi_{\bullet\bullet} = \left( \frac{1}{x_1 x_2} \right) + A \left( \frac{1}{x_1} + \frac{1}{x_2} \right) + B$$

$$\psi_{\bullet\bullet\bullet} = \left( \frac{1}{x_1 x_2 x_3} \right) + A \left( \frac{1}{x_1 x_2} + \frac{1}{x_1 x_3} + \frac{1}{x_2 x_3} \right) + B \left( \frac{1}{x_1} + \frac{1}{x_2} + \frac{1}{x_3} \right) + C$$

where the coefficients  $A$  and  $B$  are as follows,

$$A = \frac{d - c(ad + bd - 1)}{abcd - 1}$$

$$B = \frac{d^2 - cd(ad + bd - 1)(1 + t^2)}{(abcd - 1)(abctd^2 - 1)}$$

$$+ \frac{c^2(1 + a^2d^2t^2 + b^2d^2t^2 - bd(1 + t^2) + ad(bd - 1)(1 + t^2))}{(abcd - 1)(abctd^2 - 1)}$$

$$\Psi_{\tau_1, \dots, \tau_n}(x_1, \dots, x_n) = \langle W | X_1 \cdots X_N | V \rangle$$

Solution turns out to be simple:

$$X_i = \begin{cases} E - 1 + x_i & \text{if } \tau_i = \circ \\ D - 1 + x_i^{-1} & \text{if } \tau_i = \bullet. \end{cases}$$

$$DE - tED = (1 - t)(D + E)$$

$$\langle W | (E + abD) = \langle W | (1 - a)(1 - b)$$

$$(cdE + D) | V \rangle = (1 - c)(1 - d) | V \rangle.$$

## Normalisation

The normalisation is defined by

$$Z(x_1, \dots, x_L) = \sum_{\tau} \Psi_{\tau} = \langle W | \prod_{i=1}^L (D + E - 2 + x_i + x_i^{-1}) | V \rangle.$$

$Z(x_1, \dots, x_L)$  is the symmetric Koornwinder polynomial of shape  $1^L$ .

Matrix product akin to vertex operator approach, but more practical

The evaluation  $Z(1, \dots, 1)$  is equal to the homogeneous ASEP normalisation.

Evaluations  $x_i = 1$  are poorly understood in the theory of symmetric polynomials, deserve more attention!