

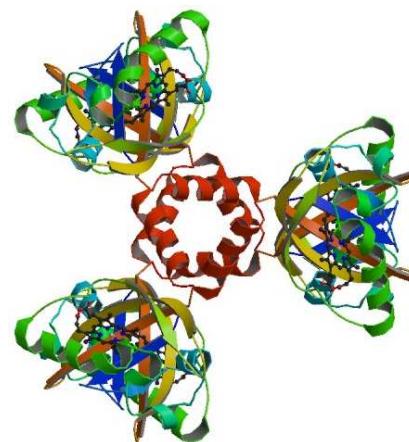
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# Hierarchical Subphase Transitions in Molecular First Order Nucleation Processes

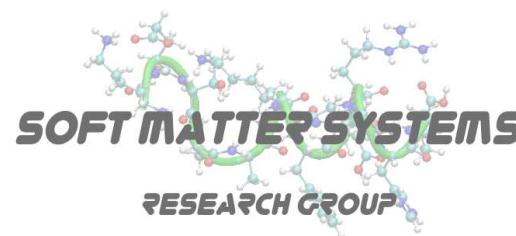
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Workshop “Monte Carlo Algorithms in Statistical Physics”, Melbourne, 26–28 July 2010



## 0. Overview

### 1. Introduction

- Small systems • Temperature • Microcanonical analysis
- Multicanonical Monte Carlo method

### 2. Aggregation of Polymers

- Coarse-grained modeling of protein aggregation
- Canonical and microcanonical analysis • Hierarchy of subphases
- Homopolymer aggregation

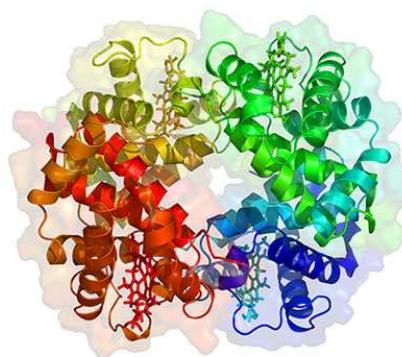
### 3. Adsorption of Polymers at Substrates

- Hybrid-system modeling • Flat substrates • Nanowires

## 1. Introduction

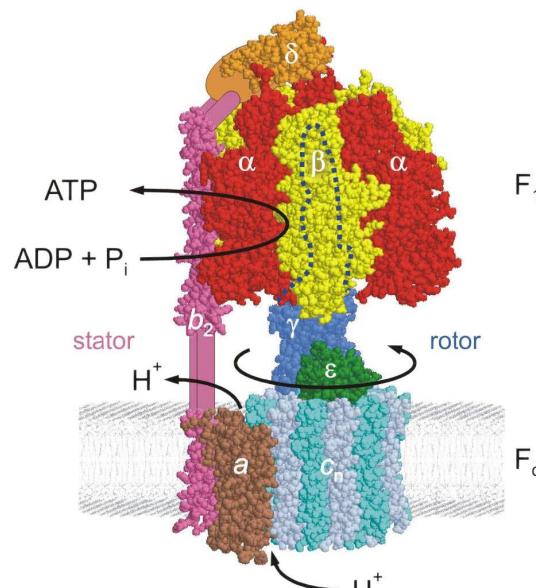
### Exemplified small molecular system: Proteins

- Heterogeneous linear chains of 40...3000 amino acids
- Geometric structure  $\Leftrightarrow$  Biological function
- Structure formation  $\Leftrightarrow$  Structural phase transition?
- But: no thermodynamic limit, no scaling, no transition points
- Finite-size, surface, and disorder effects



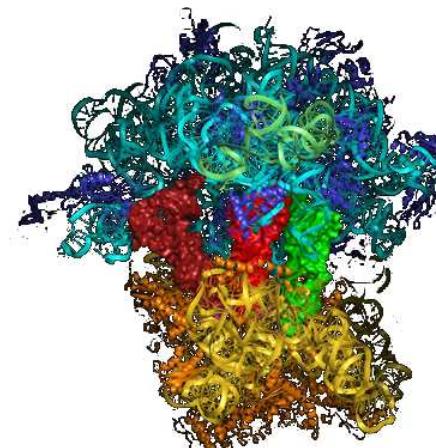
Hemoglobin

Oxygen transport in red blood cells,  
4 units, 550 atoms



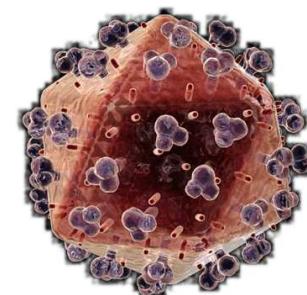
ATP synthase

Synthesis of ATP from ADP,  
2 units, 40,000 atoms



Ribosome

Protein synthesis,  
2 units, 200,000 atoms



Herpes

Icosahedral virus,  
 $10^5$ – $10^6$  atoms

## 1. Introduction

### Definition of temperature

- microcanonical:

$$T_{\text{system}}^{\text{micro}}(E) = \left( \frac{\partial S^{\text{micro}}(E)}{\partial E} \right)^{-1}, \quad S^{\text{micro}}(E) = k_B \ln g(E)$$

- canonical:

$$T_{\text{heatbath}} \equiv T_{\text{system}}^{\text{can}}(\langle E \rangle) = \left( \frac{\partial S^{\text{can}}(\langle E \rangle)}{\partial \langle E \rangle} \right)_{N,V}^{-1},$$

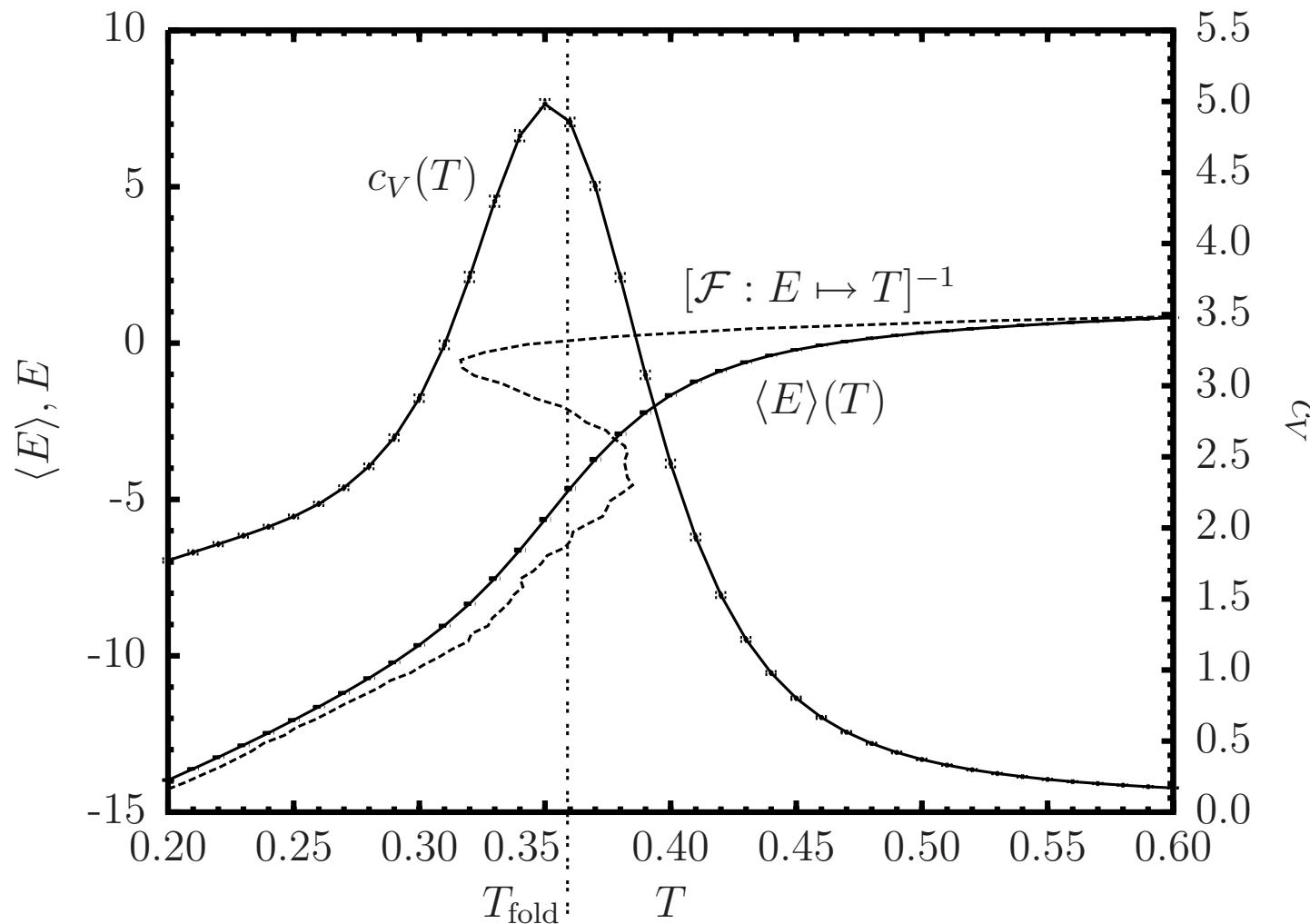
$$S^{\text{can}}(\langle E \rangle) = \frac{\langle E \rangle}{T_{\text{system}}^{\text{can}}(\langle E \rangle)} - k_B \ln Z(T_{\text{system}}^{\text{can}}(\langle E \rangle))$$

**thermodynamic limit:**  $T_{\text{system}}^{\text{micro}} = T_{\text{system}}^{\text{can}}$

**small systems:**  $T_{\text{system}}^{\text{micro}} \neq T_{\text{system}}^{\text{can}}$ ; deviation due to finite-size effects

## 1. Introduction

### Example: Two-state protein folding

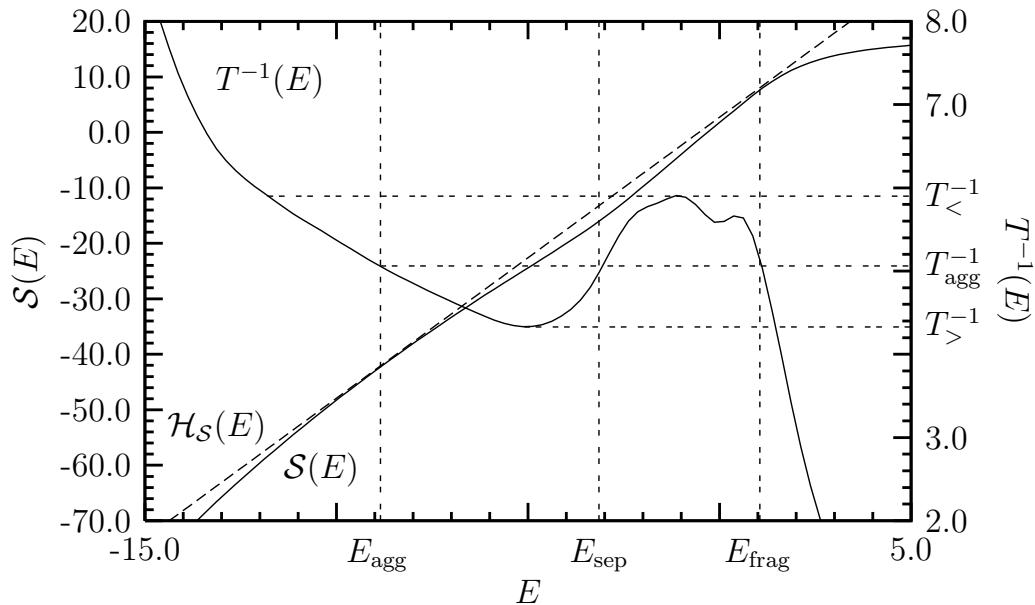


## 1. Introduction

### Microcanonical analysis

- Central quantity: density of states  $g(E)$
- Microcanonical entropy:  $S(E) = k_B \ln g(E)$
- Caloric temperature:  $T(E) = [\partial S(E)/\partial E]^{-1}$

Example: Aggregation of two small (hetero)polymers:

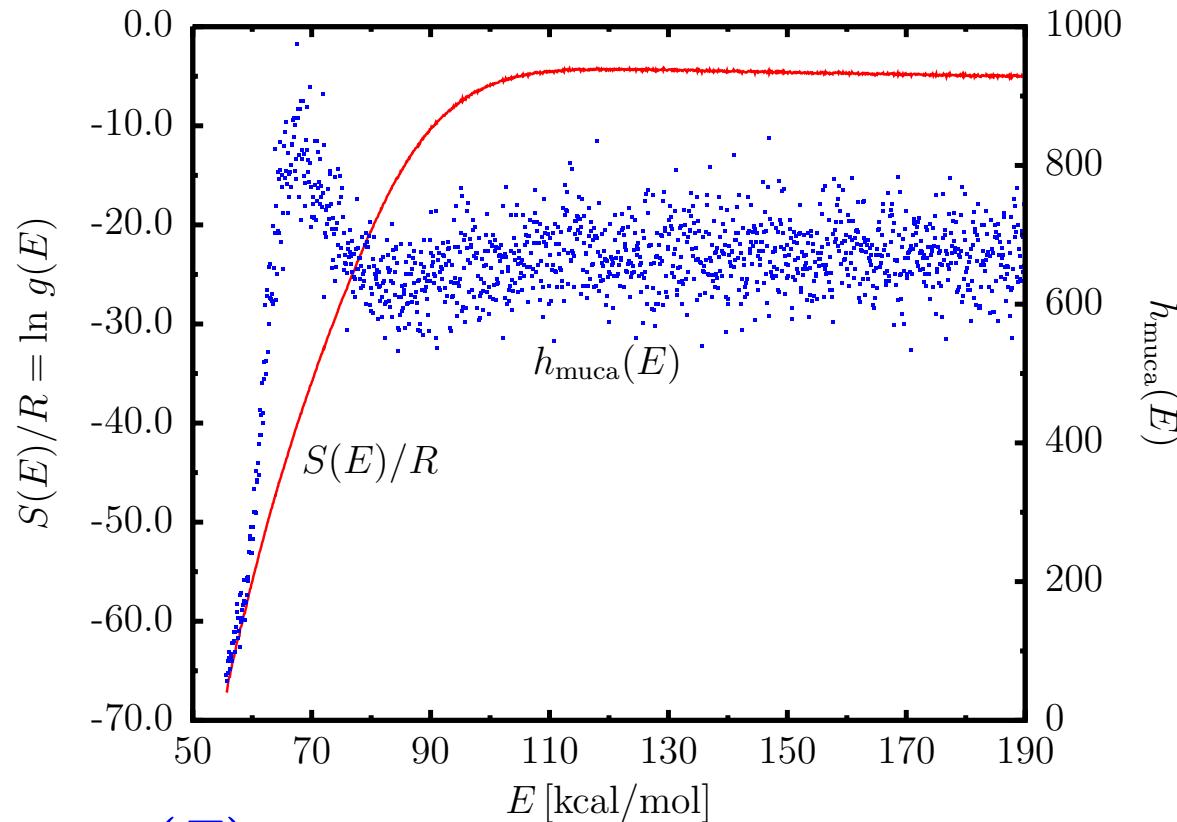


- Convex region:  $E_{\text{agg}} < E < E_{\text{frag}}$
- Phase coexistence, latent heat  $\Delta Q \neq 0$
- Gibbs construction  $\mathcal{H}_S(E) = S(E_{\text{agg}}) + E/T_{\text{agg}}$
- Transition temperature  $T_{\text{agg}} = [\partial \mathcal{H}_S(E)/\partial E]^{-1}$
- Entropy reduction  $\Delta S = \mathcal{H}_S(E_{\text{sep}}) - S(E_{\text{sep}})$

C. Junghans, M.B., W. Janke, PRL 97, 218103 (2006).

## 1. Introduction

### Estimating the density of states by multicanonical sampling



Density of states:  $g(E)$

⇒ Microcanonical entropy:  $S(E) = k_B \ln g(E)$

⇒ Canonical partition function:  $Z(T) = \int_{E_{\min}}^{\infty} dE g(E) e^{-E/k_B T}$

# 1. Introduction

## Multicanonical computer simulation

Canonical partition sum [ $\vec{X} = (\vec{x}_1, \vec{x}_2, \dots, \vec{x}_N)$ ]:

$$Z = \int \mathcal{D}\mathbf{X} \exp \left[ -S(E(\vec{X}))/k_B \right] W^{-1}(E(\vec{X}))$$

with  $S(E) = E/T - k_B \log W(E)$  and weights  $W(E) \sim 1/P_{\text{can}}(E)$ ;

Sampling with transition probability

$$w(\vec{X} \rightarrow \vec{X}') = \min \left[ e^{[S(E(\vec{X}))-S(E(\vec{X}'))]/k_B}, 1 \right]$$

⇒ Random walk in energy space

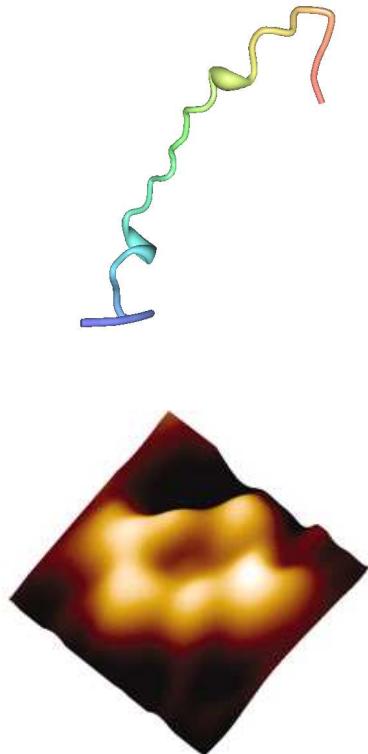
⇒ “Flat Histogram”: Uniform density of energetic states

Technical difficulty: Recursive estimation of the weights  $W(E)$

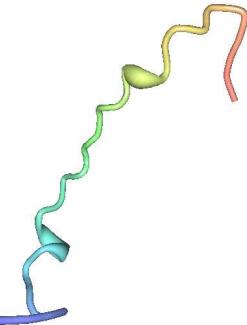
[B. A. Berg, T. Neuhaus, PLB 267, 249 (1991); PRL 68, 9 (1992)]

## 2. Aggregation of Polymers

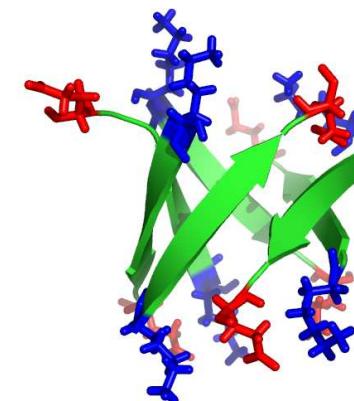
Toxicity of misfolded proteins: Alzheimer's Disease (amyloid hypothesis)



Folding of peptide  $\text{A}\beta_{1-42}$

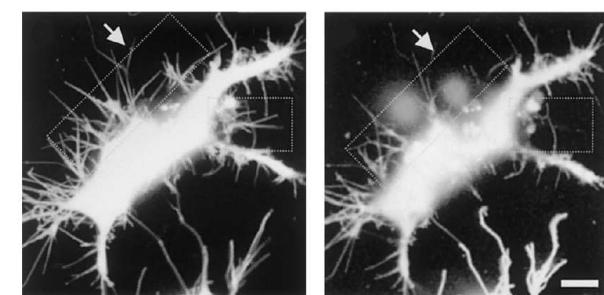


Local unfolding, aggregation



Fusion into neural cell membrane, pore formation

Degeneration of neurons by  $\text{Ca}^{2+}$  ions

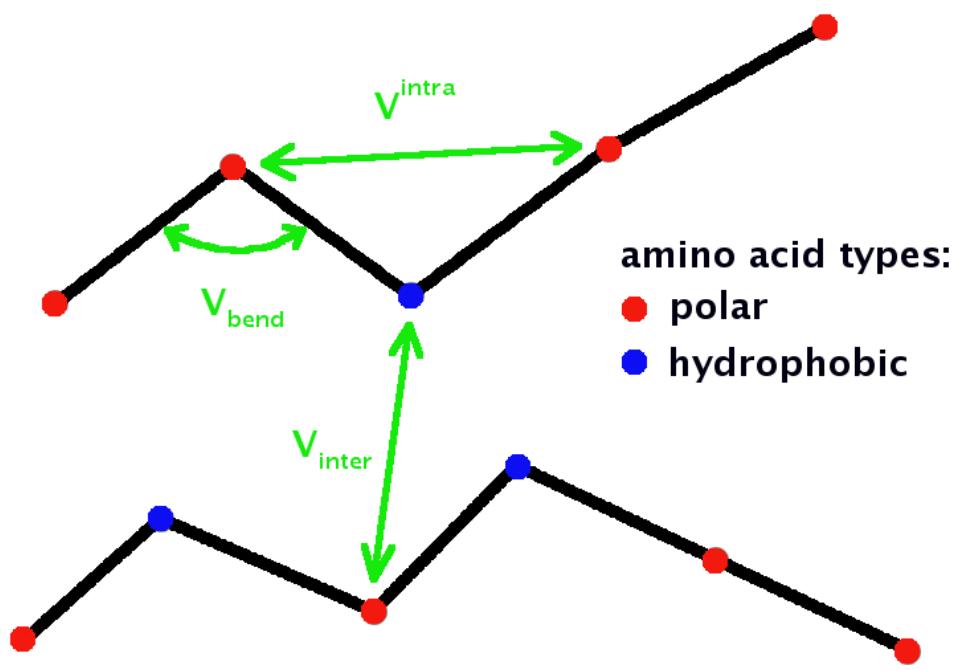


[H. Lin et al., FASEB J. 15, 2433 (2001); A. Quist et al., PNAS 102, 10427 (2005); H. A. Lashuel and P. T. Lansbury jr., Quart. Rev. Biophys. 39, 167 (2006); S. Tomaselli et al., ChemBioChem 7, 257 (2006); S. Mitternacht and A. Irbäck, Proteins 71, 207 (2008)]

## 2. Aggregation of Polymers

### Coarse-grained model for the aggregation of proteins

- Heteropolymer chains of a sequence of amino acids (disorder!)
- Simple hydrophobic-polar protein aggregation model:



$V_{\text{intra}}$ : interaction between non-bonded amino acids of the same chain

$V_{\text{inter}}$ : interaction between amino acids of different chains

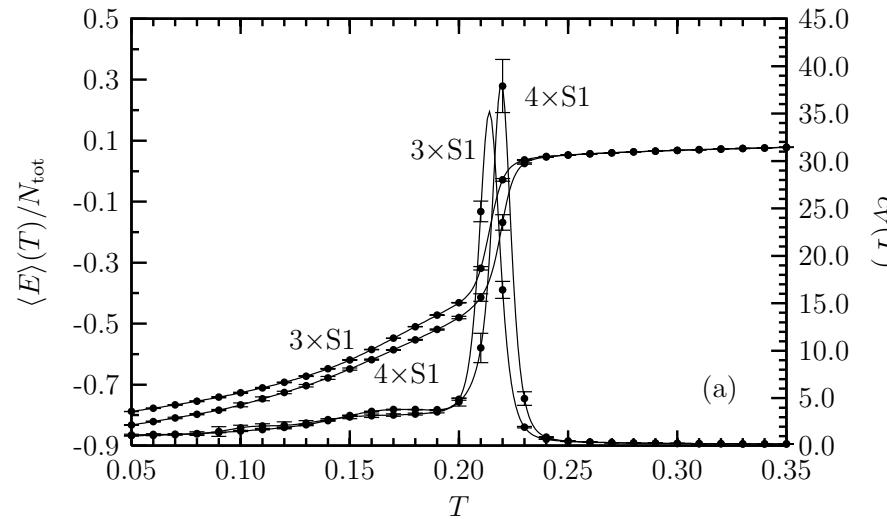
$V_{\text{bend}}$ : bending energy

Bond length is constant (stiff bonds)

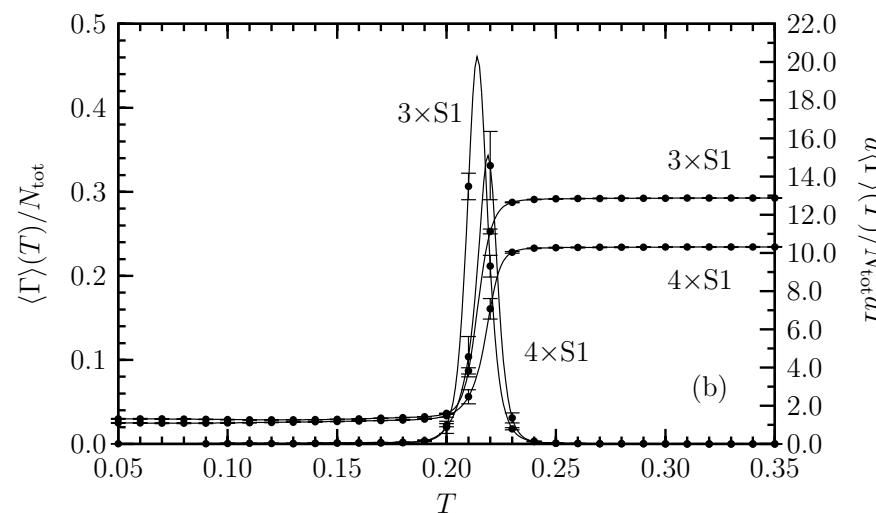
## 2. Aggregation of Polymers

Canonical analysis for systems of 3 and 4 chains (13 monomers each)

Mean energy



Order parameter  
of aggregation

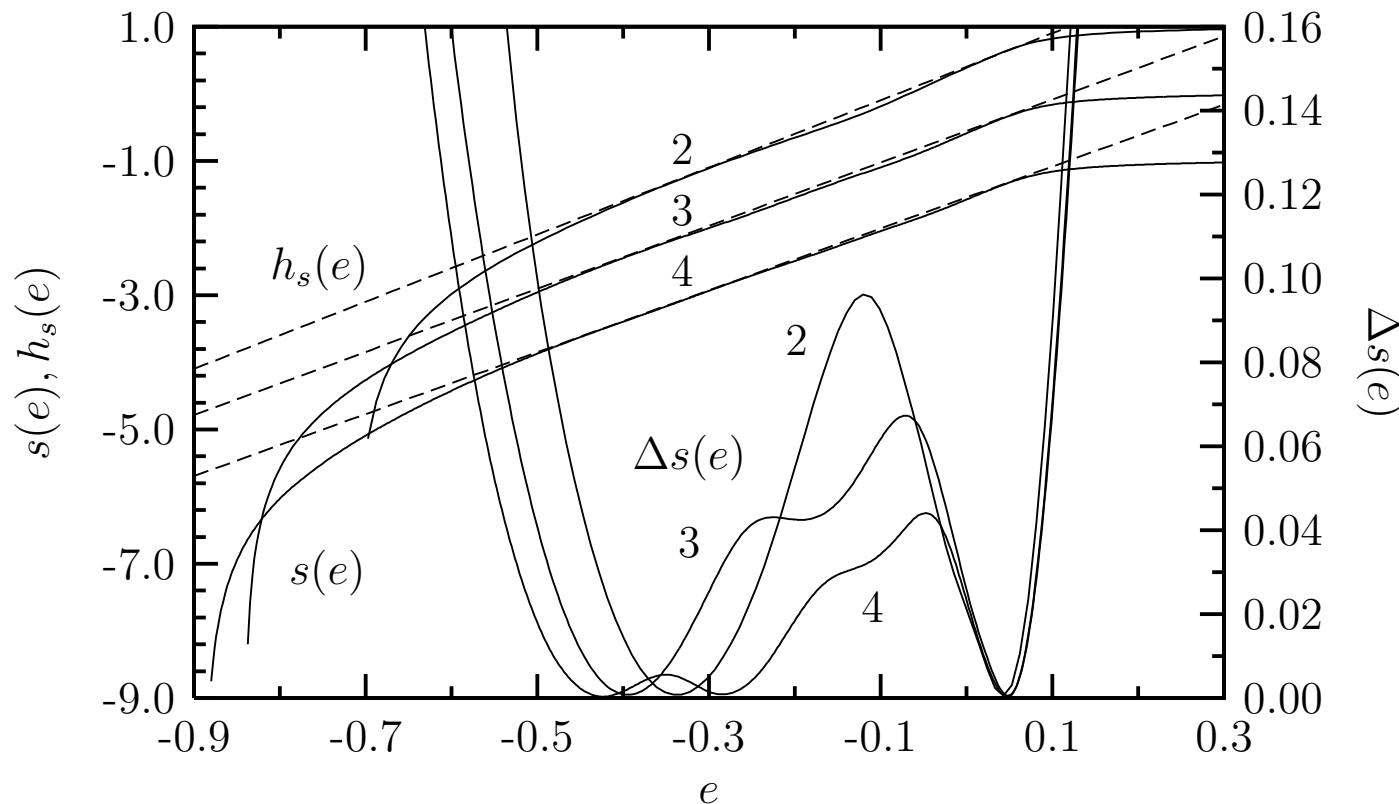


Specific heat

Fluctuations

## 2. Aggregation of Polymers

### Microcanonical analysis (2 to 4 chains)

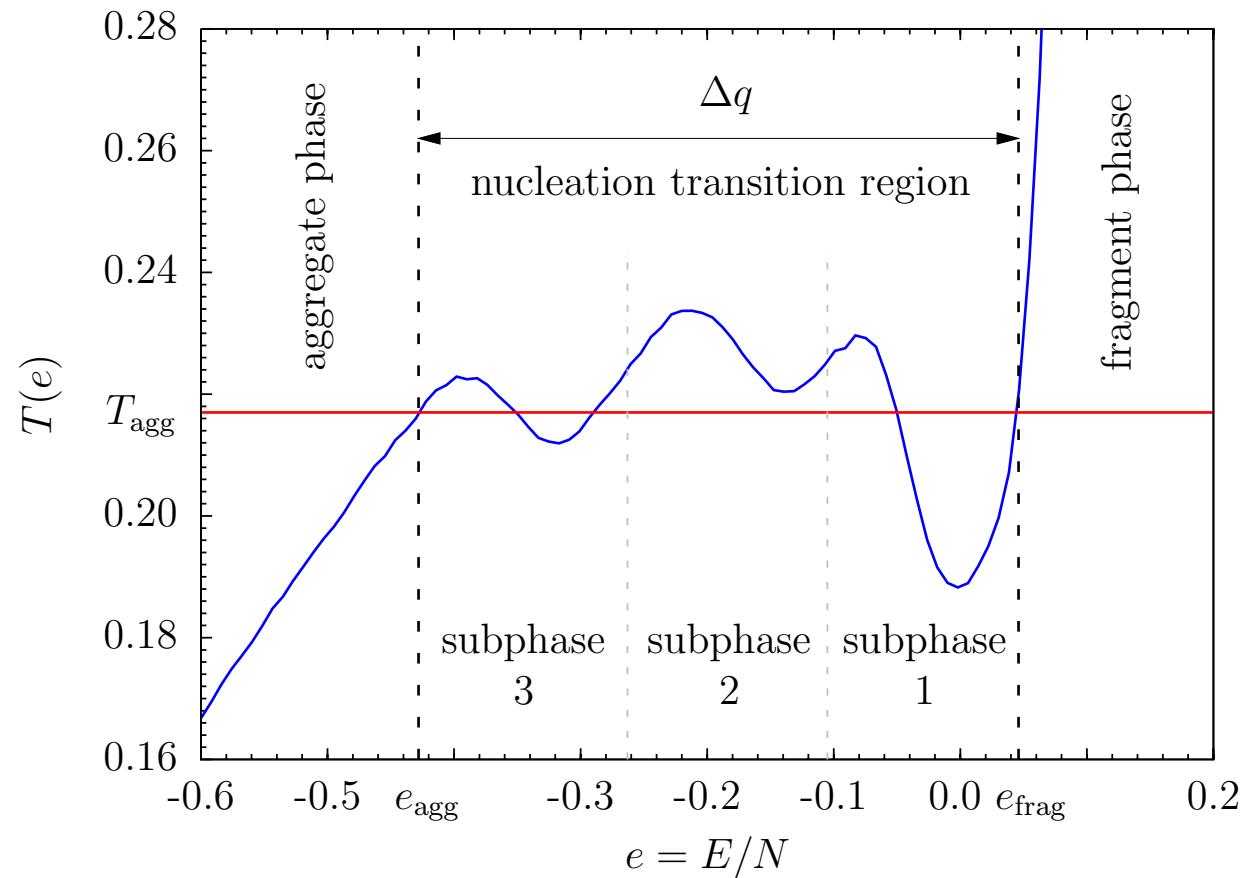


- $\Delta s$  decreases with system size ( $\rightarrow$  finite-size effect)
- $\Delta q$  (latent heat) does not vanish ( $\rightarrow$  first-order transition)
- “Oscillations” of  $\Delta s$  for 3 & 4 chains indicate subphase transitions

C. Junghans, M.B., W. Janke, PRL 97, 218103 (2006); JCP 128, 218103 (2008).

## 2. Aggregation of Polymers

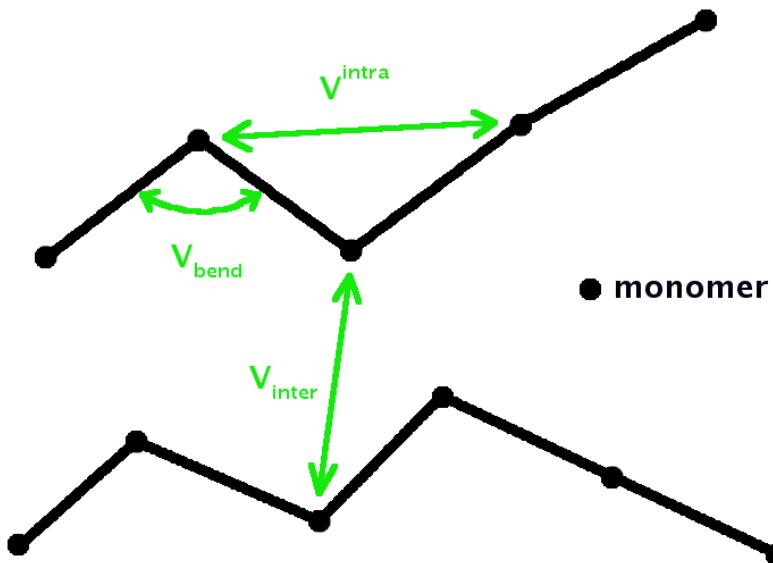
### Hierarchy of subphase transitions (4 chains)



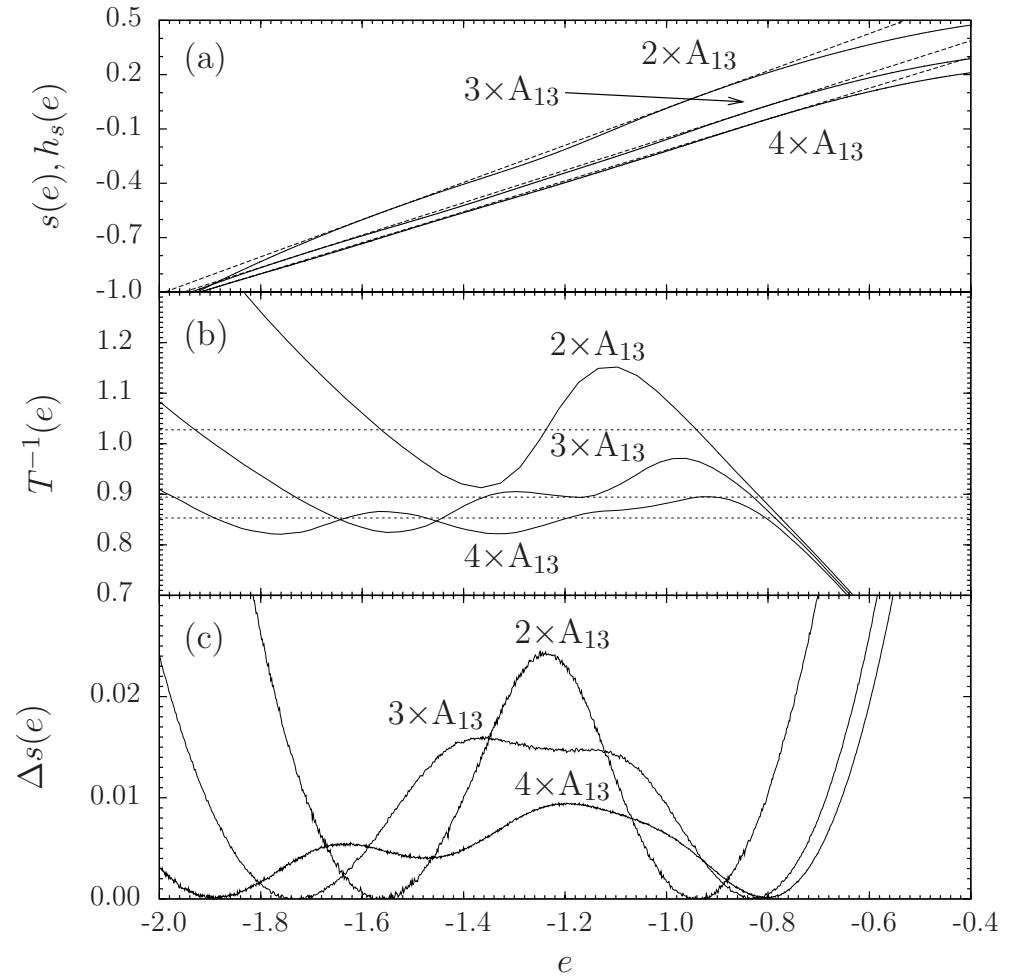
## 2. Aggregation of Polymers

### Homopolymer aggregation

- Chains of identical monomers



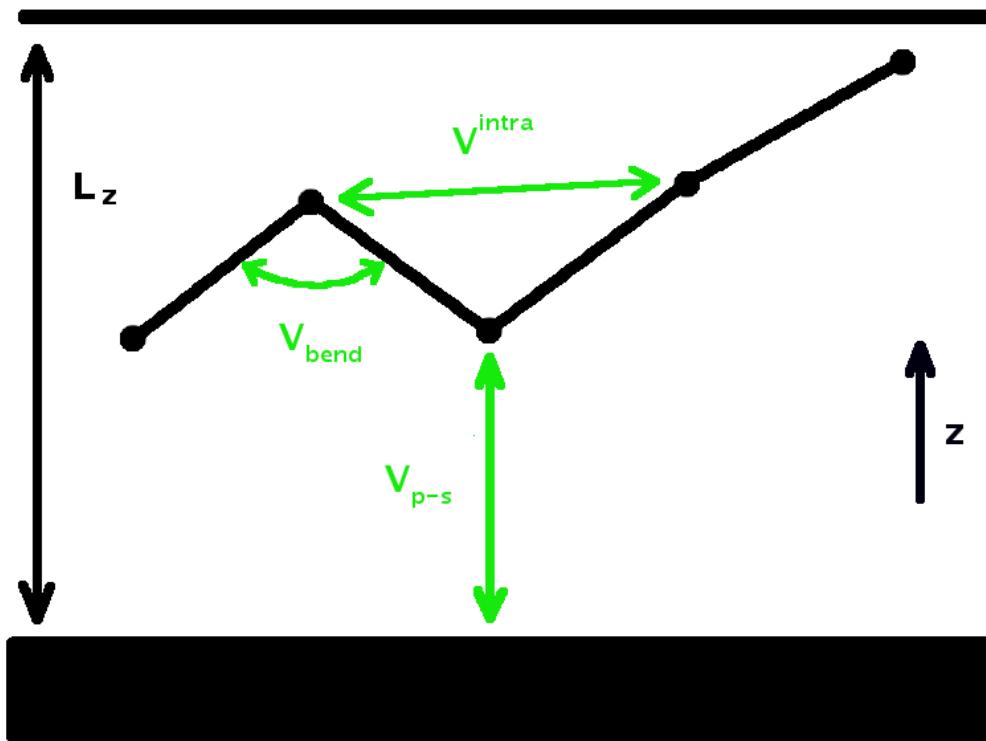
- Fluctuations about Maxwell line
- Oscillations  $\Leftrightarrow$  Hierarchies



C. Junghans, M.B., W. Janke, EPL 87, 40002 (2009).

### 3. Adsorption of Polymers at Substrates

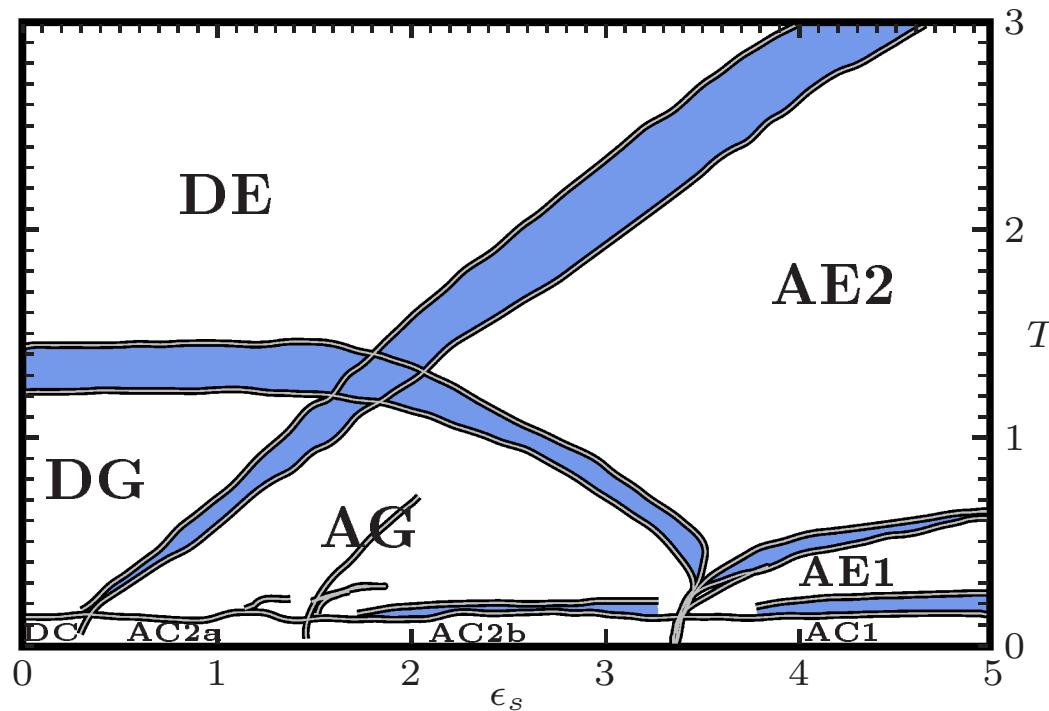
Flexible polymer interacting with continuous substrate



$$E = 4 \sum_{i=1}^{N-2} \sum_{j=i+2}^N (r_{ij}^{-12} - r_{ij}^{-6}) + \frac{1}{4} \sum_{i=1}^{N-2} [1 - \cos(\vartheta_i)] + \epsilon_s \sum_{i=1}^N \left( \frac{2}{15} z_i^{-9} - z_i^{-3} \right)$$

### 3. Adsorption of Polymers at Substrates

#### (Pseudo-)Phase diagram of adsorption (20mer)



**AE/DE:** adsorbed/desorbed expanded

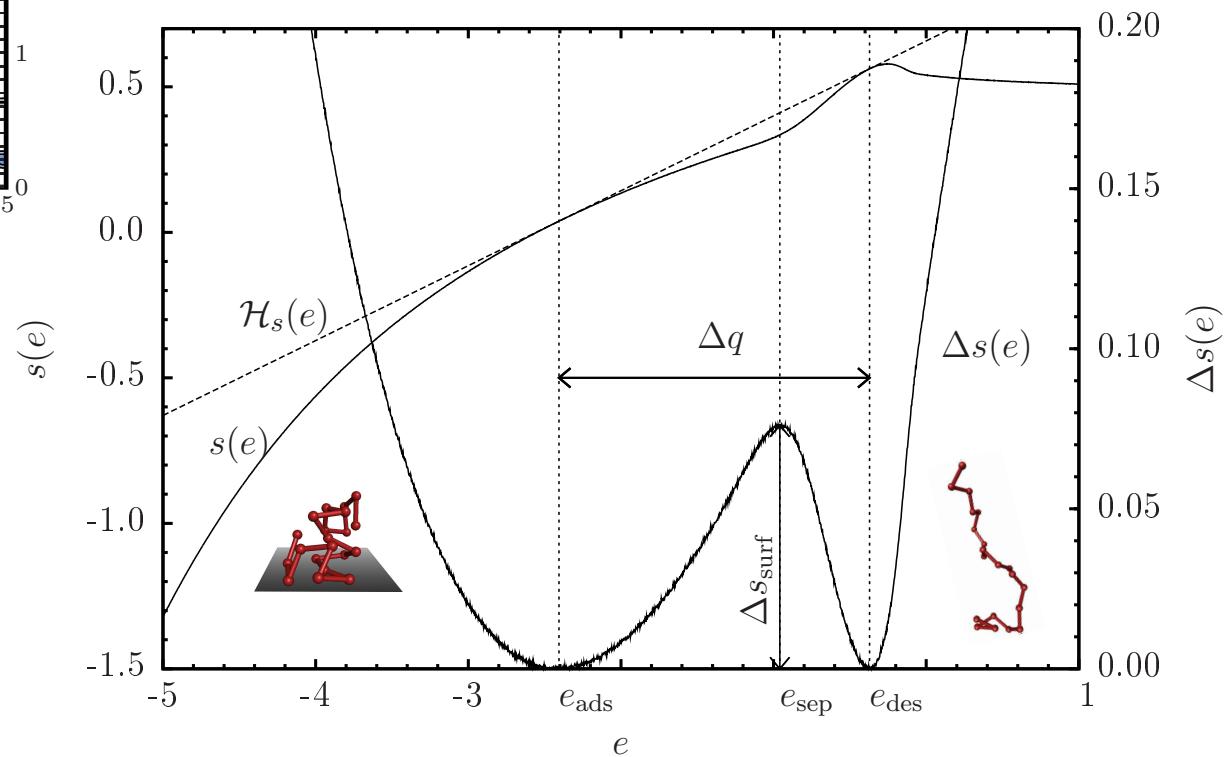
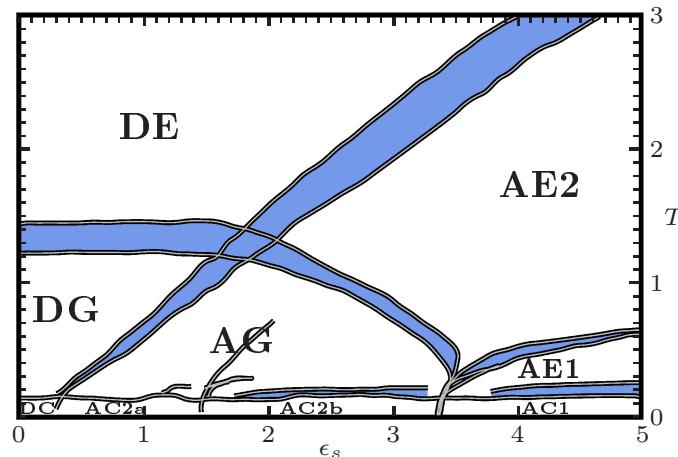
**AG/DG:** adsorbed/desorbed globular

**AC/DC:** adsorbed/desorbed compact

pseudophase	typical configuration
DE	
DG	
DC	
AE1	
AE2	
AC1	
AG	
AC2a	
AC2b	

### 3. Adsorption of Polymers at Substrates

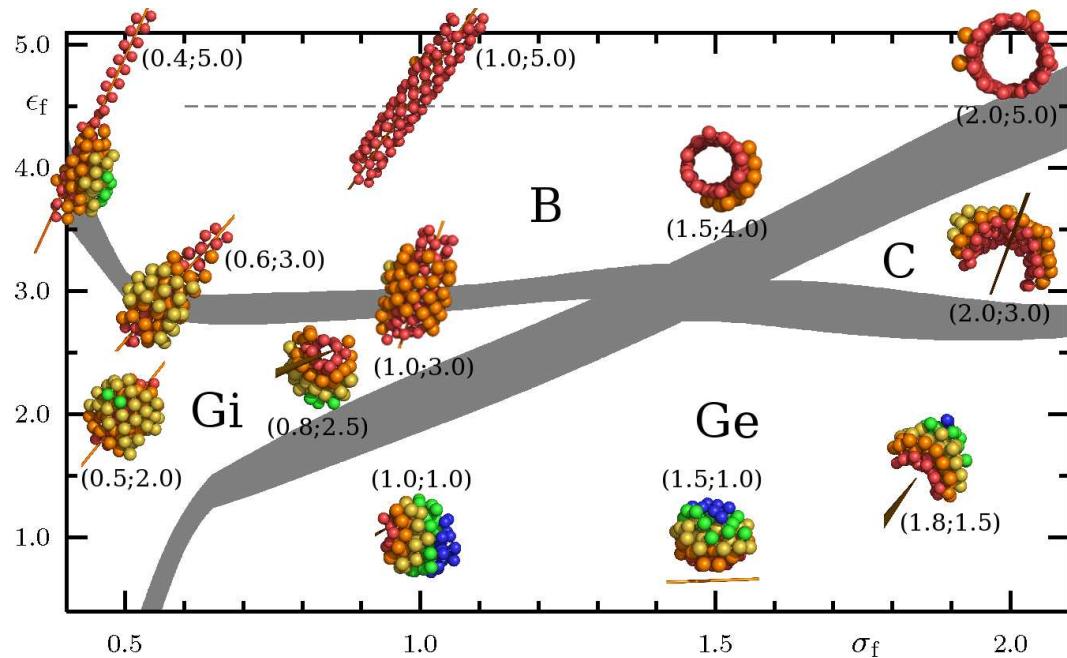
Adsorption transition  $\text{AE2} \rightleftharpoons \text{DE}$  (20mer,  $\epsilon_s = 5$ )



M. Möddel, W. Janke, M.B.,  
PhysChemChemPhys, in print (2010).

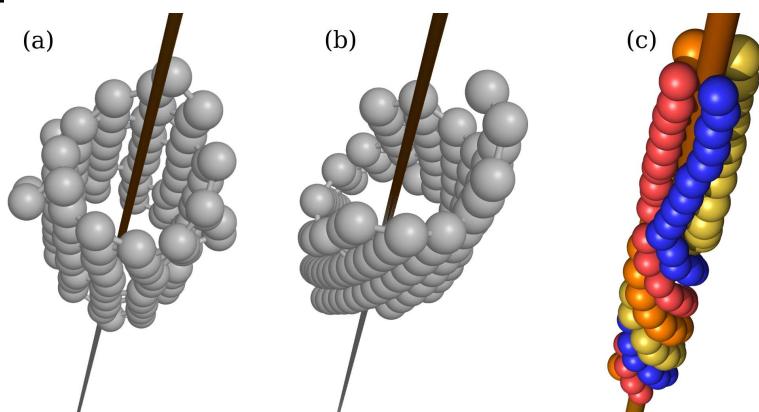
### 3. Polymer Adsorption at Substrates

#### Polymer adsorption on a nanowire



Structural phase diagram  
parametrized by vDW radius  
and adsorption strength

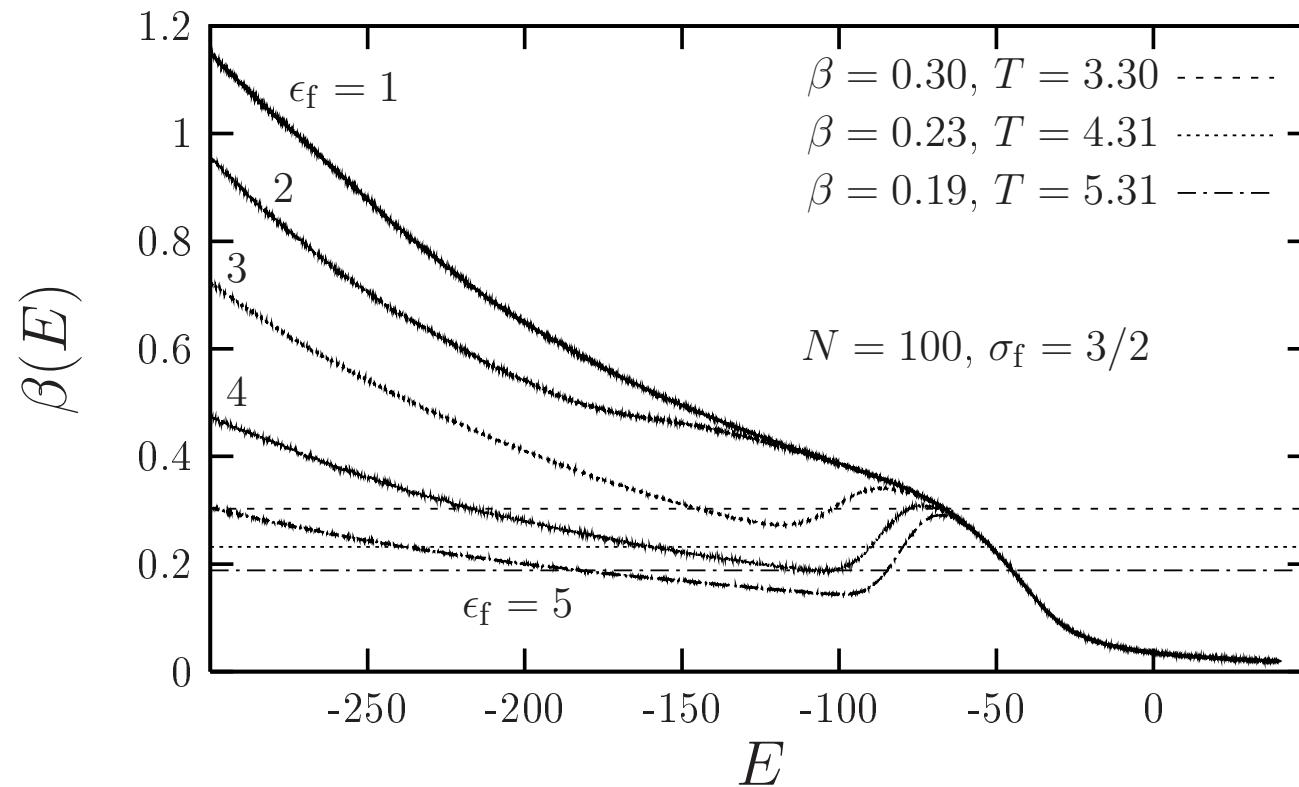
Monolayer structures in phase B  
similarities to carbon nanotubes



T. Vogel, M.B., PRL 104, 198302 (2010).

### 3. Polymer Adsorption at Substrates

#### Microcanonical thermodynamics of polymer–wire adsorption



First-order character increases with increasing wire attraction strength  $\epsilon_f$

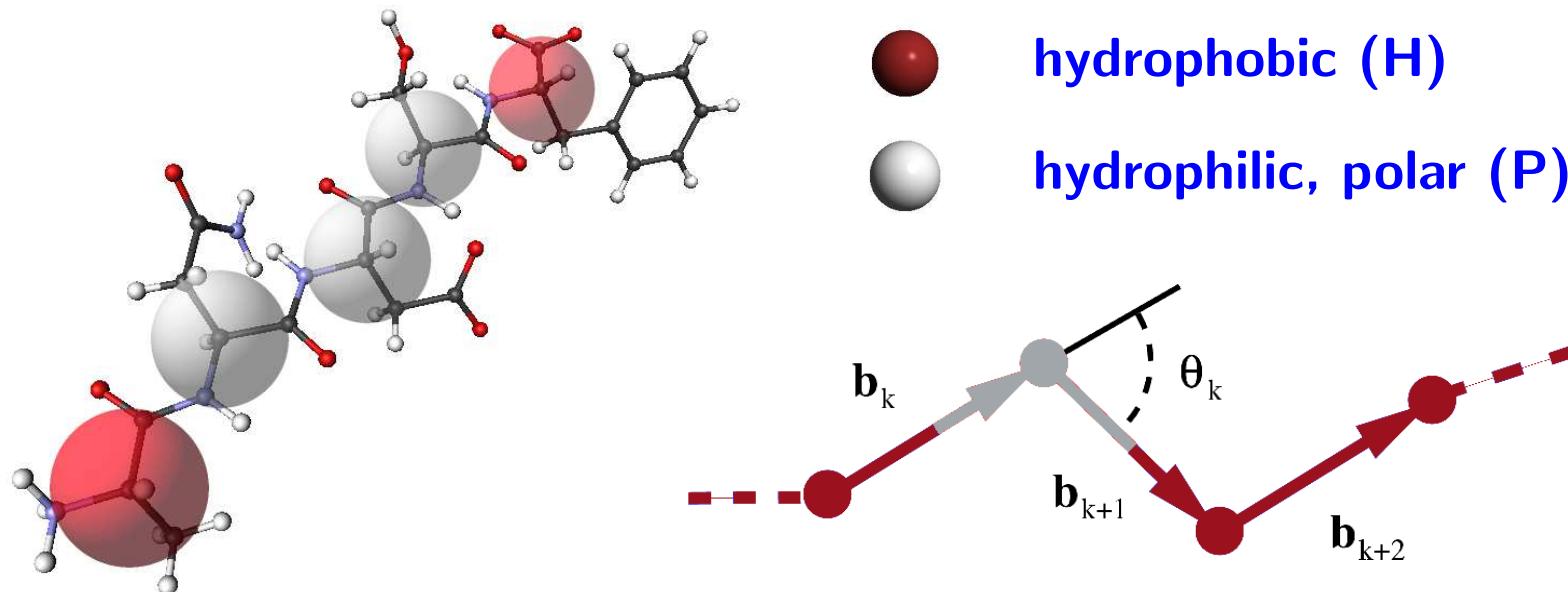
## Summary and Conclusions

- **Goal:** Understanding mechanisms of [molecular] nucleation processes
- **Tool:** Microcanonical analysis
- **Approach:** Coarse-grained models for aggregation and adsorption transitions of homopolymers and heteropolymers
- **Result:** Hierarchy of structural subphase transitions; 1<sup>st</sup> and 2<sup>nd</sup> order like behavior  $\Rightarrow$  finite-size & surface effects
- **Conclusion:** Microcanonical analysis enables quantitative analysis of general features that apply to all nucleation processes

**Collaborators:** C. Junghans, M. Möddel, T. Vogel, W. Janke

## 2. Aggregation of Polymers

### (i) Hydrophobic-polar (HP) “united atom” $C^\alpha$ models:



$$E_{\text{HP}} = \frac{1}{4} \sum_{k=1}^{N-2} (1 - \cos \theta_k) + 4 \sum_{i=1}^{N-2} \sum_{j=i+2}^N \left( \frac{1}{r_{ij}^{12}} - \frac{C(\sigma_i, \sigma_j)}{r_{ij}^6} \right)$$

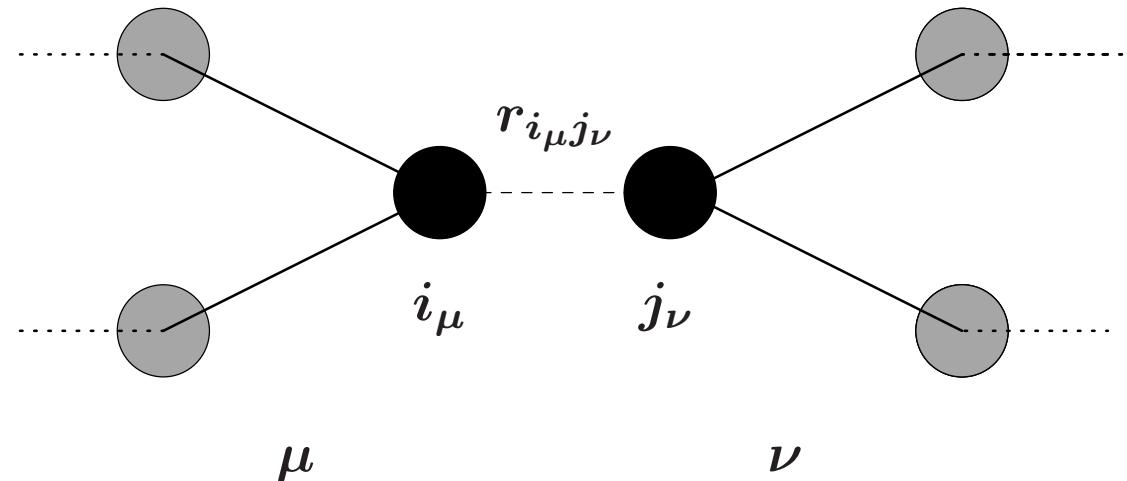
$C(H, H) > C(P, P) > 0$  (attractive),  $C(H, P) < 0$  (repulsive)

[**lattice**: K. F. Lau, K. A. Dill, Macromolecules 22, 3986 (1989); **off-lattice**: F. Stillinger, T. Head-Gordon, C. L. Hirshfeld, Phys. Rev. E 48, 1469 (1993)]

## 2. Aggregation of Polymers

### (ii) Self-assembly of molecules: Aggregation model

$$E = \sum_{\mu} E_{\text{HP}}^{(\mu)} + 4 \sum_{\mu < \nu} \sum_{i_{\mu}, j_{\nu}} \left[ r_{i_{\mu} j_{\nu}}^{-12} - C(\sigma_{i_{\mu}}, \sigma_{j_{\nu}}) r_{i_{\mu} j_{\nu}}^{-6} \right]$$



Periodic boundary conditions: Box with edge lengths  $L$

## 2. Aggregation of Polymers

### Aggregation transition: “Order parameter”

$$\Gamma^2 = \frac{1}{2M^2} \sum_{\mu,\nu=1}^M \left( \vec{r}_\mu^{\text{COM}} - \vec{r}_\nu^{\text{COM}} \right)_{\text{per}}^2$$

- Center of mass:  $\vec{r}_\mu^{\text{COM}} = \sum_{i=1}^N \vec{r}_{\mu i} / N$
- Definition similar to gyration radius  $r_{\text{gyr}}^2 = \sum_{i,j}^N (\vec{r}_i - \vec{r}_j)^2 / (2N^2)$
- Statistical average:  $\langle \Gamma \rangle = Z^{-1} \prod_{\mu=1}^M [\int \mathcal{D}X_\mu] \Gamma \exp(-E/k_B T)$
- Fluctuations

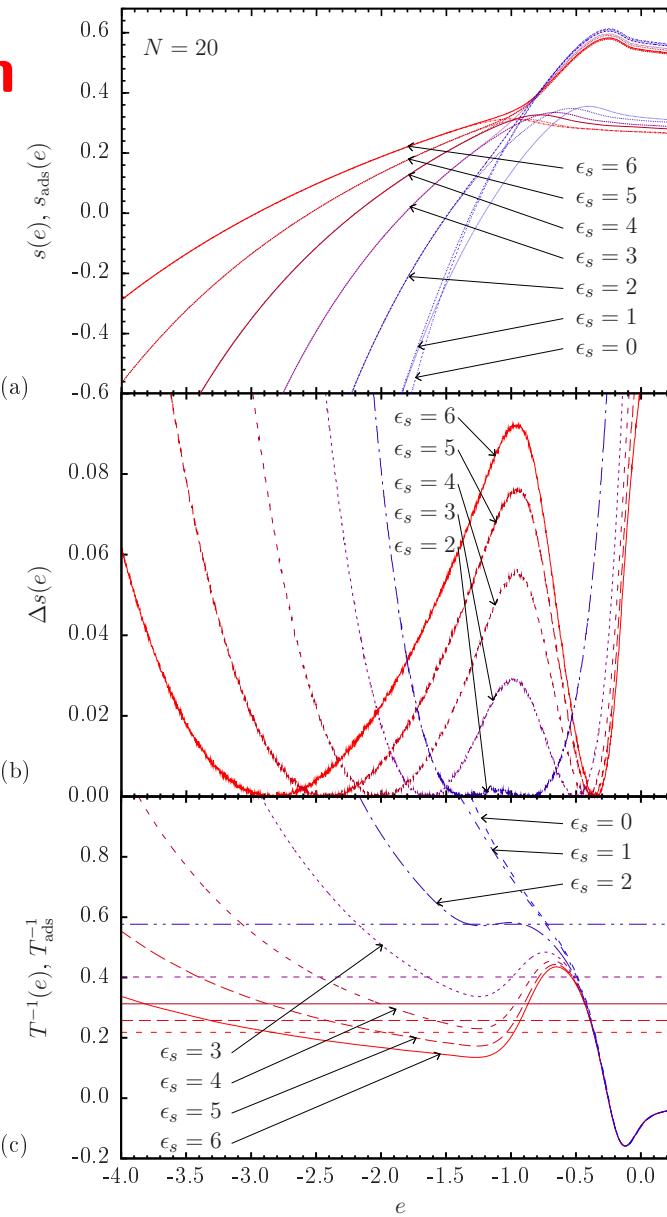
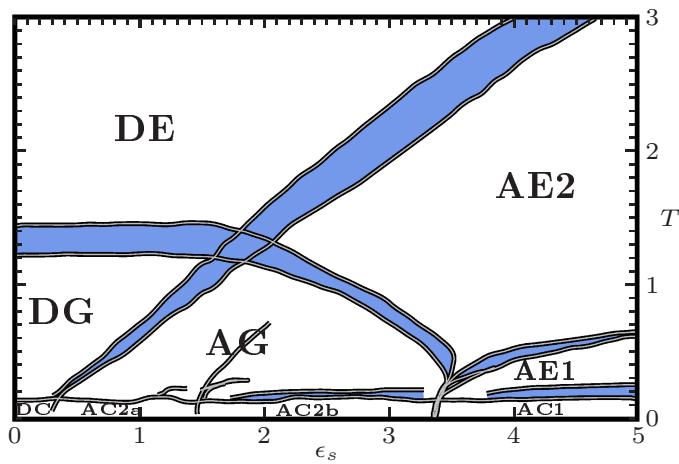
$$\frac{d\langle \Gamma \rangle}{dT} = \frac{1}{k_B T^2} (\langle E \Gamma \rangle - \langle E \rangle \langle \Gamma \rangle)$$

should signalize aggregation transitions, if any!

## 4. Adsorption of Polymers at Substrates

### Dependence on adsorption strength $\epsilon_s$ (20mer)

- $\Delta s$  increases with  $\epsilon$
- $\Delta q$  increases with  $\epsilon$



entropy

entropy reduction

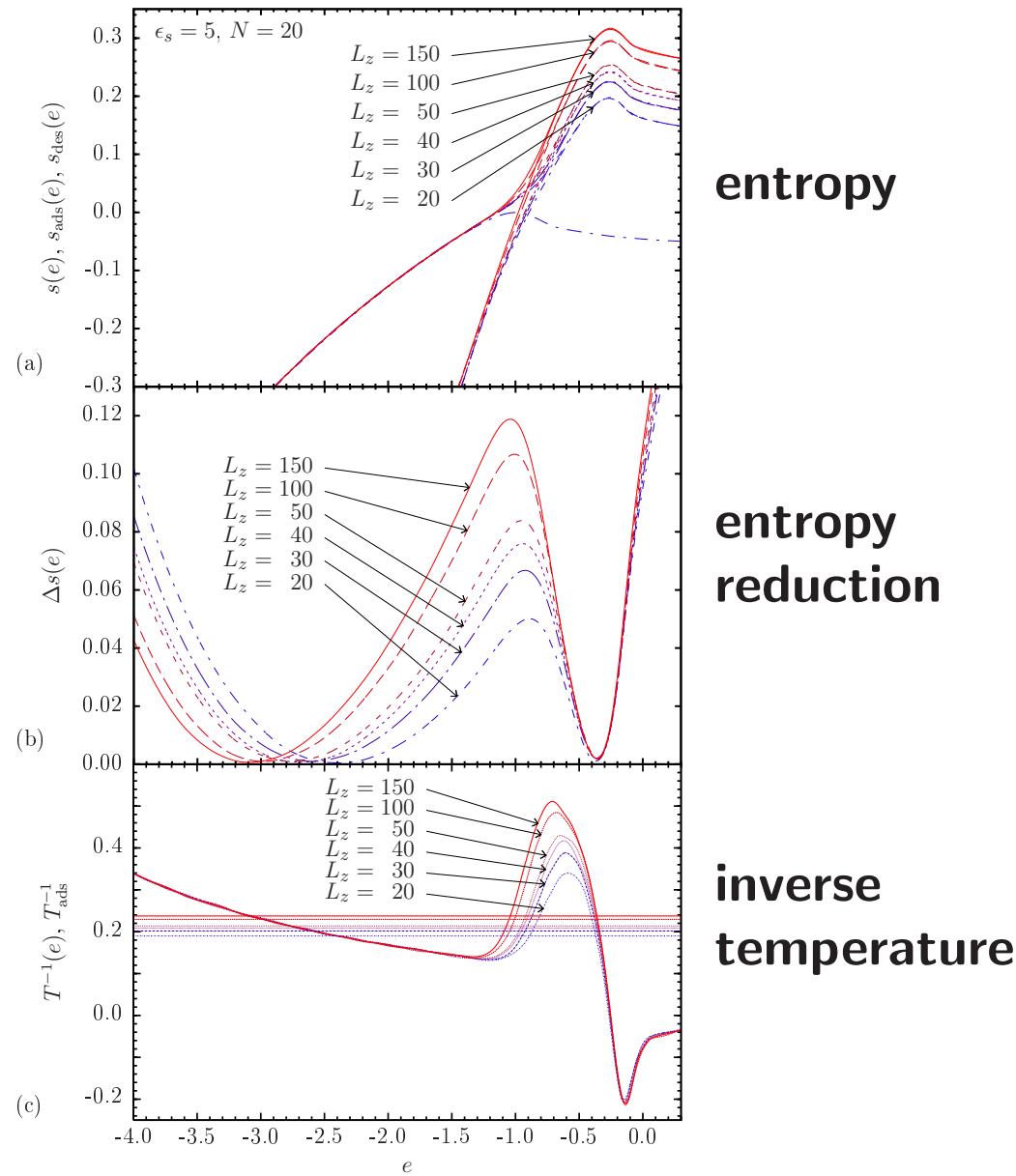
inverse temperature

## 4. Adsorption of Polymers at Substrates

Dependence on box size  
( $N = 20$ ,  $\epsilon_s = 5$ )

- $\Delta s$  increases with  $L_z$
- $\Delta q$  increases with  $L_z$

⇒ Translational entropy  
vs. conformational entropy

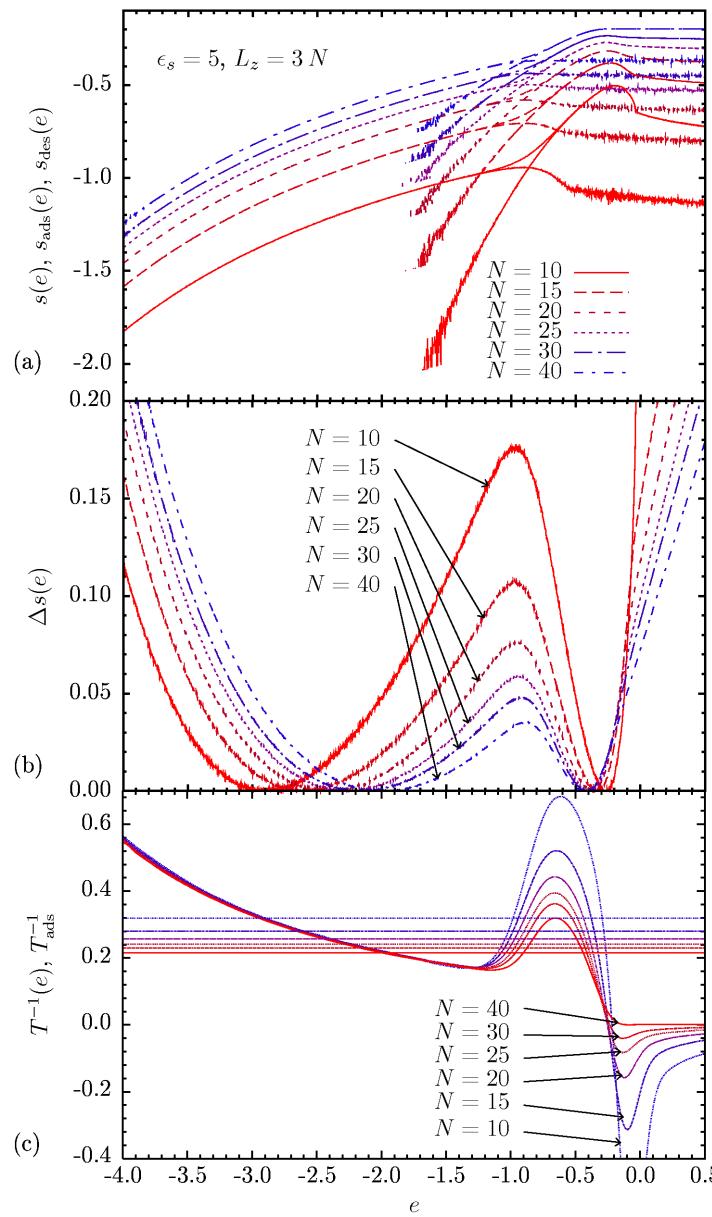


## 4. Adsorption of Polymers at Substrates

### Dependence on chain length $N$ ( $\epsilon_s = 5$ )

- $\Delta s$  decreases with  $N$
- $\Delta q$  decreases with  $N$

$N \rightarrow \infty$ : Second-order phase transition with first-order signature for finite chains



entropy

entropy reduction

inverse temperature